Zip Code

Fax

November 26, 2003

42.946

PTO/SB/05 (07-03)
Approved for use through 7/31/2003. OMB 0651-0032
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE
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18220-0018-1 UTILITY Attorney Docket No. PATENT APPLICATION BAKER First Inventor PACKAGING LABEL HAVING A TEAR TRANSMITTAL Title **AWAY SPINE** EK 307936205 US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Mail Stop Patent Application APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) 7. **[** 1. 🖂 Computer Program (Appendix) (Submit an original and a duplicate for fee processing) Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. 8. 2. See 37 CFR 1.27. (if applicable, all necessary) з. 🖂 a. Computer Readable Form (CRF) Specification [Total Pages 16] (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the invention - Cross Reference to Related Applications i. CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention c. Statements verifying identity of above copies - Brief Summary of the Invention - Brief Description of the Drawings (if filed) **ACCOMPANYING APPLICATION PARTS** - Detailed Description - Claim(s) Assignment Papers (cover sheet & document(s)) - Abstract of the Disclosure 9. 37 CFR 3.73(b) Statement 10. 4. 🛛 Power of Attorney Drawing(s) (35 U.S.C. 113) [Total Sheets 5] (when there is an assignee) English Translation Document (if applicable) 5. Oath or Declaration [Total Sheets _____] 11. 12. 🖂 Information Disclosure Copies of IDS Citations a. Newly executed (original or copy) Statement (IDS)/PTO-1449 b. Copy from a prior application (37 CFR 1.63(d)) 13. **Preliminary Amendment** (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. 🔯 (Should be specifically itemized) i. DELETION OF INVENTOR(S) Certified Copy of Priority Document(s) 15. Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 16. \square 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Other: Certificate of Express Mailing Application Data Sheet. See 37 CFR 1.76 6. 17. 🛛 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) Divisional of prior application No.: Continuation Art Unit: Prior application information: Examiner For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u>be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below **Customer Number:** 26587 M Name Address

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

City

Country

Signature

Name (Print/Type)

K. Scott O'Brian

State

Telephone

Registration No. (Attorney Agent)

Date



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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL	AMOUNT OF PAYMENT	(\$)

1	
	1

	Complete if Known	
Application Number		
Filing Date	November 26, 2003	
First Named Inventor	BAKER	
Examiner Name	n/a	
Group / Art Unit	n/a	
Attorney Docket No.	18220-0018-1	

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None				3.	3. ADDITIONAL FEES							
☐ Check ☐ C			oney 🔲 O Order	tner 🛄	none	La	arge	Entity	Small E	ntity		
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Deposit Account	50-1059					105	51	130	2051	65	Surcharge - late filing fee or oath	
Number						105	52	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit			,			105	53	130	1053	130	Non-English specification	
Account McNees Wallace & Nurick LLC					181	12	2,520	1812	2,520	For filing a request for reexamination		
Name						180	04	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
The Commissioner is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application					180	05	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
Charge fee(s) i	indicated be	low, e	except for the			125	51	110	2251	55	Extension for reply within first month	
to the above-ident			unt.	_		125	52	410	2252	205	Extension for reply within second month	
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	Small Entity	-				125	54	1,450	2254	725	Extension for reply within fourth month	
	Fee Fee Code (\$)	• <u>F</u>	ee Descriptio	<u>n</u>	Fee Paid	125	55	1,970	2255	985	Extension for reply within fifth month	
	2001 375		tility filing fee		385	140	01	320	2401	160	Notice of Appeal	
	2007 375	_	esign filing fee			140	02	320	2402	160	Filing a brief in support of an appeal	
	2003 260		lant filing fee	•		140	03	280	2403	140	Request for oral hearing	
1004 750 2	2004 375	R	eissue filing fe			145	51	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	2005 80 Provisional filling fee					145	52	110	2452	55	Petition to revive – unavoidable	
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						150	01	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIN	1 FEES		. .	<i></i>		150	02	470	2502	235	Design issue fee	
				Fee from below	Fee Paid	150	03	630	2503	315	Plant issue fee	
Total Claims 27	-20 **		7 X	18	= 126	146		130	1460	130	Petitions to the Commissioner	
Independent	-	Ē	i			180	07	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims 3	-3 **	= [•X	86	= 0	180	06	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent Large Entity	, Small E	ntity	×		= 0	802	21	40	8021	40	Recording each patent assignment per property (times number of properties)	
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1202 18	2202	9	Claims in e	excess of 2	0	181	10	750	2810	375	For each additional invention to be	
1201 84	2201	42	Independe	nt claims ir	excess of 3						examined (37 CFR § 1.129(b))	
1203 280	2203	140		-	aim, if not paid	180	01	750	2801	375	Request for Continued Examination (RCE)	
1204 84	2204	2204 42 ** Reissue independent claims over original patent			180	02	900	1802	900	Request for expedited examination		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent				- 1 -	of a design application Other fee (specify)							
		SUE	BTOTAL (2)	(\$) 126								
**or number prev	riously paid.	if are	ater; For Reis	ssues, see	above	*	Reduc	ed by Ba	asic Filing	g Fee P	aid SUBTOTAL (3) (\$) 0	

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	K. Scott O'Brian	Registration No. Attorney/Agent)	42,946	Telephone	717-237-5492	
Signature	Kan	f MBM)		Date	November 26, 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Included on this form. Provide credit card information and authorization on P10-2038.

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CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10

I hereby certify that the following documents are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. 1.10 on the date indicated below, Express Mail Label Number EK **307936205** US, dated November 26, 2003 and addressed to:

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

- Return Acknowledgment Postcard 1.
- Utility Patent Application Cover Sheet 2.
- 3. Fee Transmittal Form
- Patent Application (16 Pages)
- 5. Drawings (5 sheets)
- **Application Data Sheet** 6.
- Information Disclosure Statement 7.
- 8. PTO/SB/08

By: (Type signature) K. Scott O'Brian

Written Signature:

Date: November 26, 2003